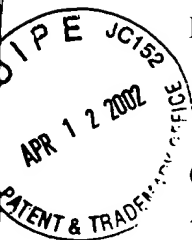


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Application of:	)	APPLICATION BRANCH
	)	
Neal Brooks, et al.	)	Examiner: Not Assigned
	)	
Cont. Application of	)	
Application No.: 09/808,351	)	Group Art Unit: Not Assigned
	)	
Filing Date: October 22, 2001	)	
(Prior Filing Date: March 15, 2001)	)	
	)	
Title: SYSTEM AND METHOD FOR PORTABLE		
EMULATION OF OPERATION ENVIRONMENT		

**STATUS INQUIRY**

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

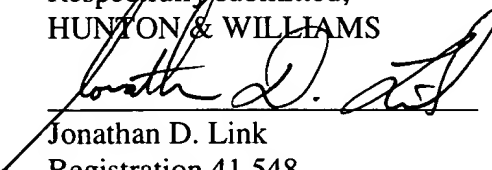
The above-identified Continuing patent application was filed in the U.S. Patent & Trademark Office ("Patent Office") on October 22, 2001. To date, Applicants have not received a Filing Receipt, nor a Notice To File Missing Parts regarding the Continuing patent application.

Copies of the complete filing as submitted to the Patent Office on October 22, 2001, along with the date-stamped post card indicating receipt by the Patent Office, is attached for your convenience.

Applicants would appreciate an indication as to when they might receive the Filing Receipt and Notice to File Missing Parts from the Patent Office. Applicants would appreciate receiving a reply to this inquiry by telephone or facsimile as soon as possible.

If Applicants or its representative may be of any assistance to the Patent Office in connection with this matter, the undersigned counsel would welcome a telephone call or other communication from the Patent Office.

It is believed that no fee is due in connection with this filing. However, in the event that any fees are necessary, the Commissioner is hereby authorized to charge our Deposit Account No. 50-0206.

Respectfully submitted,  
HUNTON & WILLIAMS  
By:   
Jonathan D. Link  
Registration 41,548  
Jennifer A. Albert  
Registration No. 32,012

Hunton & Williams  
1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109  
(202) 955-1500 (Telephone)  
(202) 778-2201 (Facsimile)  
Date: April 11, 2002

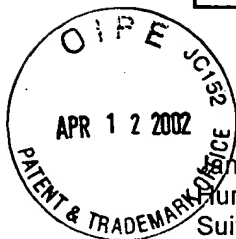
COPY



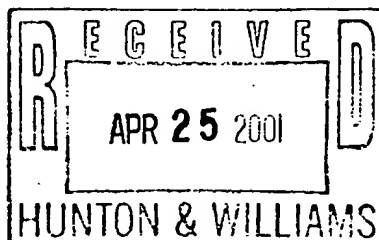
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/808,351	03/15/2001	Neal Brooks	58593.000006



James G. Gatto, Esq.  
Hunton & Williams  
Suite 1200  
1900 K Street, N.W.  
Washington, DC 20006



CONFIRMATION NO. 3436  
FORMALITIES LETTER  
\*OC000000005991609\*

Date Mailed: 04/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Seble*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED	<i>File Missing Parts</i>
ACTION CODE	<i>Reply to Missing Parts</i>
BASE DATE	<i>4-27-01</i>
DUE DATE	<i>6-20-01</i>
DEADLINE	<i>10-20-01</i>
ATTORNEYS	<i>JGG/SNB</i>
INITIALS	<i>NCF/VJP</i>

COPY



UTILITY ☒

DESIGN ☐

Application Serial No.: 09/808,351  
Inventor: Neal BROOKS, et al.  
Filing Date: March 15, 2001  
Atty/Sec.: SDB/pmt

Client/Matter: 58593.000006  
Client: IOMEGA CORPORATION

Date: October 22, 2001

Title: SYSTEM AND METHOD FOR PORTABLE EMULATION OF OPERATING ENVIRONMENT

The following has been received in the U.S. Patent and Trademark Office  
on the date stamped hereon:

1. Request for Petition for Extension of Time, Response to Notice to File Missing Parts and Assignment for  
Recordation submitted in duplicate with check for \$1,090.00

2. Assignments

3. Continuation Application Transmittal submitted in duplication with check for \$920.00

4. Formal Drawings (4 drawing sheets)

DOCKETED



HUNTON &  
WILLIAMS

204895

INV. DATE	INVOICE NO.	CLIENT/MATT	DESCRIPTION	AMOUNT
10/22/01	GV1019	58593 000006	EXTENSION OF TIME - 3 MONTHS, ASSIGNMENT FEE AND MISSING PARTS FEE	1,090.
<div data-bbox="219 310 440 548" data-label="Image"> </div> <div data-bbox="886 420 1203 539" data-label="Text"> <p>COPY</p> </div>				\$1,090.

HUNTON &  
WILLIAMS

204895

INV. DATE	INVOICE NO.	CLIENT/MATT	DESCRIPTION	AMOUNT
10/22/01	GV1022	58593 000006	CONTINUATION APPLICATION FEE	920.
				\$920.

Attorney Docket No.  
58539.000006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FORM FOR FILING CONTINUING APPLICATION  
UNDER 37 C.F.R. § 1.53(b)

COPY



Attorney Docket Number 58593.000006  
Anticipated Classification Of This Application :  
Class unassigned Subclass unassigned

Prior Application: 09/808,351  
Examiner: not assigned  
Art Unit: 2123

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 C.F.R. § 1.53(b) of prior Application Serial No. 09/808,351 filed March 15, 2001, entitled **System and Method for Portable Emulation of Operation Environment** of the following named inventors Neal BROOKS, Scott HILLYARD, Todd MARCUSEN, James RHODES, Michael SEXTON and Robert SINCLAIR.

1. ☒ Enclosed is a true copy of the prior application as originally filed. No amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.
2. ☐ Preliminary Amendment and Second Preliminary Amended are enclosed.
3. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as mentioned at 1, 2 and 3 above.

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	30	10	x \$18.00 =	\$180.00
INDEPENDENT CLAIMS	3	0	x \$78.00 =	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0			x \$260.00 =	
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS=				+\$
REDUCTION BY 1/4 FOR FILING BY SMALL ENTITY (Note 37 C.F.R. 1.9, 1.27, 1.28). IF APPLICABLE, VERIFIED STATEMENT MUST BE ATTACHED.				
TOTAL =				\$ 920.00

5. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0206.
6. ☐ Please charge \$ to Applicant's Deposit Account No. 50-0206 (attached is a copy of authorization to charge Applicant's Deposit Account).
7. ☐ Amend the specification by replacing the first sentence with: --
8. ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27  
☐ is enclosed.  
☐ was filed in prior application Serial No. and such status is still proper and desired (37 C.F.R. § 1.28(a)).
9. ☐ Priority of foreign Application Nos. , filed on, is claimed under 35 U.S.C. § 119.  
☐ A certified copy of each was filed in prior Application Serial No. filed

10. ☒ Drawings are enclosed.
11. ☐ The prior application is assigned of record to .
12. ☒ The power of attorney in the prior application is to Hunton & Williams.
- a. ☒ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Recognize as Associate Attorneys:
- d. ☐ Please remove as power of attorney:
13. ☐ Enclosed is an Associate Power of Attorney to the Undersigned Counsel.
14. ☐ Also enclosed: An INFORMATION DISCLOSURE STATEMENT. Attached are Forms PTO-1449 listing all of the documents cited by Applicants and the PTO in the parent application(s) relied upon 35 U.S.C. 120. Per Rule 98(d) copies of those documents are not required now. Please consider these documents and advise that they have been considered in this new application by returning a copy of the enclosed Forms PTO-1449 with the Examiner's initials in the left column per M.P.E.P. 609.
15. ☒ Address all future communications to:
- HUNTON & WILLIAMS  
ATTN: SCOTT D. BALDERSTON  
1900 K STREET, N.W.  
WASHINGTON, DC 20006  
(TEL.) (202) 955 1500  
(FAX) (202) 778-2201

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the applications or any patent issuing thereon.

Dated: October 22, 2001

By: Scott D. Balderston  
Scott D. Balderston

LAW OFFICES  
HUNTON & WILLIAMS  
1900 K STREET, N.W., SUITE 1200  
WASHINGTON, D.C. 20006-1109  
(202) 955-1500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re Application of:

Neal BROOKS, et al.

Group Art Unit: unassigned

Appln. No.: not yet assigned

Examiner: unassigned

Filed: March 15, 2001

For: SYSTEM AND METHOD FOR PORTABLE EMULATION  
OF OPERATING ENVIRONMENT.

Assistant Commissioner  
for Patents

Washington, D.C. 20231

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)

Sir:

I hereby certify that the invention disclosed in the above-referenced patent application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Thus, I hereby request that the above-referenced patent application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,

HUNTON & WILLIAMS

By: Scott D. Balderston  
Scott D. Balderston  
Registration No. 35,436

Hunton & Williams  
1900 K Street, N.W.  
Washington, D.C. 20006-1109  
Telephone: (202) 955-1500  
Facsimile: (202) 778-2201

Date: March 15, 2001





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re Patent Application of : )

Neal BROOKS, et al. )

Group Art Unit: 2123

Serial No.: 09/808,351 )

Examiner: To Be Assigned

Filed: March 15, 2001 )

For: SYSTEM AND METHOD FOR PORTABLE EMULATION OF OPERATING  
ENVIRONMENT

**REQUEST FOR PETITION FOR EXTENSION OF TIME,  
RESPONSE TO NOTICE TO FILE MISSING PARTS AND  
ASSIGNMENT FOR RECORDATION**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**REQUEST FOR PETITION FOR EXTENSION OF TIME  
UNDER 37 CFR § 1.136(a)**

Applicant respectfully petitions the Assistant Commissioner for Patents for a four-month extension of time up to October 20, 2001 (October 20, 2001 being a Saturday), to respond to the Notice To File Missing Parts of Nonprovisional Application dated April 20, 2001.

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Nonprovisional Application" mailed April 20, 2001, Applicant submits herewith an executed Joint Declaration for inventors Neal BROOKS, Todd MARCUSEN, James RHODES, Michael SEXTON, and Robert SINCLAIR for filing in the cited patent application, along with the required fee for late filing of the Declaration. A Rule 53(b) Continuation is also being filed herewith.



**ASSIGNMENT FOR RECORDATION**

Also enclosed is an executed Assignment document and Assignment Recordation cover sheet. The Assignment Recordation fee is included in the attached check.

**FEES**

Submitted herewith is a check totaling \$1,090.00 (\$130.00 to cover the surcharge for late filing of the Declaration; \$920.00 to cover the fee for the four-month Extension of Time, and \$40.00 to cover the filing fee for the Assignment Recordation). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By:

Scott D. Balderston

Scott D. Balderston

Registration No. 35,436

1900 K Street, N.W., Suite 1200

Washington, D.C. 20006-1109

(202) 955-1500 (Telephone)

(202) 778-2201 (Facsimile)

Dated: